正標数楕円 K3 曲面の数論と幾何について On Arithmetic and Geometry of elliptic K3 surfaces in positive characeristic

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# (Quasi-)Elliptic surfaces

- $k = \overline{k}$ : algebraically closed fields in characteristic p > 0
- $f: X \longrightarrow C$ : an elliptic surface over k
  - X (resp. C) is a nonsingular projective surface (resp. curve) over k
  - ► f is a surjective morphism whose general fiber is a nonsingular elliptic curve and fibers have no (-1) cueve (relatively minimal)
  - f has a section O
- $f: X \longrightarrow C$ : a quasi-elliptic surface over k
  - X (resp. C) is a nonsingular projective surface (resp. curve) over k
  - f is a surjective morphism whose general fiber is a rational curve with a cusp
  - f is relatively minimal and has a section O

# Fundamental facts

Let  $f: X \longrightarrow C$  be a (quasi-)elliptic surface

- ► Mordell-Weil group : MW(X/C) := {section of f}
- ▶ Mordell-Weil theorem (Lang-Néron 1959, I. 1992) MW(X/C) is a finitely generated abelian group, i.e.,  $MW(X/C) \cong \mathbb{Z}^{\oplus r} \oplus$  torsion subgroup For the case of unirational quasi-elliptic surfaces, r = 0 and torsion subgroup is *p*-elementary abelian group (I. 1992, 1994)
- NS(X): Néron-Severi group of X (group of divisors on X up to algebraically equivalence)
- Relation between Mordell-Weil gruop MW(X/C) and Néron-Severi group (Shioda 1972, I. 1992) :

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MW(X/C) \cong NS(X)/T
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where T consists of *O*-sections, a general fiber, vertical divisors which donot intersect with *O*-section

## Mordell-Weil lattices(Shioda 1990)

There exists unique group homomorphism

$$\varphi: \operatorname{MW}(X/C) \longrightarrow T^{\perp} \otimes \mathbb{Q} \subset NS(X) \otimes \mathbb{Q}$$

such that

- 1. for any  $P \in MW(X/C)$ ,  $\varphi(P) \equiv (P) \mod T_{\mathbb{Q}}$ ,
- 2. Ker  $\varphi = MW(X/C)_{tor}$ ,

then define a pairing  $\langle P, Q \rangle$  for  $P, Q \in MW(X/C)$  by

$$\langle P, Q \rangle := -(\varphi(P), \varphi(Q)).$$

Then  $(MW(X/C)/(tor), \langle, \rangle)$  is a positive definite lattice and we call it Mordell-Weil lattices (MWL) of X/C.

# Mordell-Weil lattices (Shioda 1990)

The MWL  $(MW(X/C)/(tor), \langle, \rangle)$  has a sublattice  $(MW(X/C)^{\circ}, \langle, \rangle)$  which has a good properties such as even, integral and positive-definite.

• MWL for rational elliptic surface  $X/\mathbb{P}^1$ 

$$\begin{split} \mathrm{MW}(X/\mathbb{P}^1)/(\mathit{tor}) &\cong \overline{(T^{\perp})^*} \subset \langle \mathcal{O}, \mathcal{F} \rangle^{\perp} \cong \mathcal{E}_8 \\ & \cup & \cup \\ \mathrm{MW}(X/\mathbb{P}^1)^\circ \cong \overline{(T^{\perp})} \end{split}$$

 $\implies$  "Everything happens inside  $E_8$  rational for elliptic surfaces"

# Main subject

Motivation : When an object is related to  $\cdots$  of order  $p, \cdots$  of degree p, Frobenius morphism,  $\cdots$ , many interesting things happens in characteristic p > 0.

Want to study

elliptic *K*3 surfaces with  $p^n$ -torsion sections in characteristic p > 0.

- Want to classify them if possible.
- Want to study the geometry of their moduli.

Conclusion : Get the classification and interesting geometry.

*P*-torsion sections behave like irreducible divisors of the fibration. That is, the existence of *p*-torsion reduces the dimension of moduli.

Joint work with Christian Liedtke "Elliptic K3 surface with  $p^n$ -torsion sections" (arXiv:1003.0144)

## Related works on *p*-torsion sections

- (Levin '68) The order of torsion subgroup of non-constant elliptic cueve over a function field can be bouded by p and the genus of C.
- (Nguen-Saito '96, Hindry-Silberman '88, Goldfeld-Szpiro '95) the bound for prime-to-*p* part in terms of *p* and the gonality of k(C)

(A. Schweizer '04) Case non-constant elliptic surface

- the restriction on the genus of C, gonality of k(C) and p-rank when p<sup>n</sup> > 11,
- the explicit examples including *K*3's when  $p^n \le 11$ .
- (Dolgachev-Keum '01) Using the theory of symplectic automorphism action on K3 in positve characteristic,
  - ► the order of symplectic auto. is prime to p when p > 11
  - ► the examples of K3's which have symplectic auto. whose order is divisible by p when p ≤ 11
- ► (Dolgache-Keum '09) If an elliptic K3 sufface has p-torsion section, then p ≤ 7.

# Main Theorem 1

Elliptic K3 surfaces with  $p^n$ -torsion section in characteristic p exist for  $p^n \leq 8$  only.

If the fibration has constant *j*-invariant then  $p^n = 2$ .

# Main Theorem 1

Elliptic K3 surfaces with  $p^n$ -torsion section in characteristic p exist for  $p^n \leq 8$  only.

If the fibration has constant *j*-invariant then  $p^n = 2$ .



### Igusa moduli functor

Igusa moduli functor

$$[\mathrm{Ig}(p^n)^{\mathrm{ord}}]: (Sch/\mathbb{F}_p) \longrightarrow (Sets)$$

associates to every scheme *S* over  $\mathbb{F}_p$  the pair of ordinary elliptic curve *E* over *S* and  $p^n$ -torsion section on the *n*-fold Frobenius pullback  $(F^n)^*(E)$ .

Theorem [lgusa '68]:

- 1. When  $p^n \ge 3$  then  $[Ig(p^n)^{\text{ord}}]$  is representable by a smooth affine curve (=:  $Ig(p^n)^{\text{ord}}$ ), and we have the universal family  $\mathcal{E} \longrightarrow Ig(p^n)^{\text{ord}}$ .
- The geometry of the normal compactification Ig(p<sup>n</sup>)<sup>ord</sup> of Ig(p<sup>n</sup>)<sup>ord</sup> was studied.

The geometry of  $\overline{Ig(p^n)^{\text{ord}}}$ 



E.g., n = 1 and  $p \ge 3$ 

- ▶  $j : \overline{\operatorname{Ig}(p^n)^{\operatorname{ord}}} \longrightarrow \mathbb{P}^1$  is Galois covering with  $\mathbb{Z}/\frac{p-1}{2}\mathbb{Z}$
- j is totally ramified over the supersingular j-values and totally split over j = ∞,

i.e., there are (p-1)/2 points (cusps) lying above  $\infty$ 

The degenerating behavior of the universal family  $\bar{\mathcal{E}}$  over the supersingular points and the cusps has been determined. (Liedtke-Schroeer '08)

# Example : $\overline{Ig(11)^{ord}}$

- ►  $\overline{\mathrm{Ig}(11)^{\mathrm{ord}}} \cong \mathbb{P}^1$
- Ig(11)<sup>ord</sup> has 5 cusps
- our fibration has at least 5 fibres with multiplicative reduction

 $\implies \overline{\mathcal{E}}$  has at least 5 fibres of type I<sub>n</sub>, where 11 divides all these *n*'s

• these contribute at least  $5 \times (p-1) = 50$  to  $\rho(X)$ 

Hence, not K3 !.

Case : constant j-invariant

- ► the p<sup>n</sup>-torsion section is different from the zero section ⇒ the generic fiber is ordinary
  - $\implies$  the ordinary locus  $U \subseteq \mathbb{P}^1$  is open and dense
- $p^n \ge 3 \Longrightarrow$  the Igusa moduli problem is representable
- ► constant *j*-invariant ⇒ the classifying morphism φ : U → Ig(p<sup>n</sup>)<sup>ord</sup> is constant
- ► X|U → U is a product family and not birational to a K3 surface

Hence in this case we have  $p^n = 2$ .

Case : non-constant j-invariant

- ▶ the ordinary locus  $U \subseteq \mathbb{P}^1$  is open and dense
- ► assume p<sup>n</sup> ≥ 3, i.e., that the Igusa moduli problem is representable

 $\implies$  the classifying morphism  $\varphi : U \longrightarrow Ig(p^n)^{ord}$  is dominant

 $\implies$  Ig( $p^n$ )<sup>ord</sup> is a rational curve

▶  $Ig(p^n)^{ord}$  is rational  $\iff p^n \le 11$  (Igusa '68)

Need to exclude the cases p = 11 and p = 9

We have already excluded the case p = 11.

The remaining case  $p^n = 9$  is excluded similarly.

- ►  $\overline{Ig(p^2)^{\text{ord}}}$  has three cusps  $\implies$  our fibration has at least 3 fibres of type I<sub>n</sub>, where  $p^2$ divides all these *n*'s
- these contribute at least 3 × (ρ<sup>2</sup> − 1) = 24 to ρ(X), i.e., b<sub>2</sub>(X) ≥ ρ(X) > 24

Hence, X is not a K3 surface.

# Elliptic K3 surfaces with $p^n$ -torsion sections

p <sup>n</sup>	$[Ig(p^n)^{ord}]$	$\deg \varphi$	description of the family
8 = 2 <sup>3</sup>	fine		
7	fine		
5	fine		
4	fine		
3	fine		
2	not fine		isotrivial case
			non-isotrivial case

# Formal Brauer group and its height for K3 surfaces

The functor on the category of finite local k-algebras A with residue field k

$$\widehat{\operatorname{Br}} \hspace{0.1 in} : \hspace{0.1 in} A \hspace{0.1 in} \mapsto \hspace{0.1 in} \ker \left( H^2_{\operatorname{\acute{e}t}}(X \times A, \mathbb{G}_m) \longrightarrow H^2_{\operatorname{\acute{e}t}}(X, \mathbb{G}_m) \right)$$

is pro-represented by a smooth formal group of dim.  $h^2(X, \mathbb{O}_X) = 1$ , the *formal Brauer group*  $\widehat{Br}(X)$  of X. (Artin-Mazur '77)

- The height *h* of the formal Brauer group is ∞ or an integer 1 ≤ *h* ≤ 10 and all values are taken. (Artin '74)
- Moreover, *h* determines the Newton polygon on second crystalline cohomology. (Illusie '79)
- In particular, the extreme cases are as follows:
  - h = 1 if and only if Newton- and Hodge- polygon coincide, i.e., the K3 surface is *ordinary*, and
  - $h = \infty$  if and only if the Newton polygon is a straight line, i.e., the K3 surface is *supersingular in the sense of Artin*.

# The notion of supersingularity

Recall that a surface is called *supersingular in the sense of Shioda* if the rank of its Néron–Severi group is equal to its second Betti number.

 Unirational surfaces are supersingular in the sense of Shioda. (Shioda '74)

On the other hand, a surface is called *supersingular in the sense of Artin* if its formal Brauer group has infinite height. (Artin '74)

- Unirational K3 surfaces are supersingular in the sense of Artin.
- Supersingularity in the sense of Shioda implies supersingularity in the sense of Artin.

### Some conjectures

For K3 surfaces,

- (Shioda) Shioda-supersingularity implies unirationality,
- (Artin) Artin-supersingularity implies unirationality,
- (Artin) Artin-supersingularity implies Shioda-supersingularity.

Remark: For elliptic K3 surfaces these two notions of supersingularity coincide (Artin '74).

In characteristic 2, there is another conjecture by Artin (Artin '74), which does not only imply the above conjectures but also gives a geometric explanation of the above conjectures:

 In characteristic 2, an elliptic fibration on a supersingular K3 surface arises via Frobenius pullback from a rational elliptic surface.

Remark : Such a conjecture cannot be true in general in characteristic  $p \ge 3$ .

# Main Theorem 2 (Supersingular characterization)

Let  $X \longrightarrow \mathbb{P}^1$  be an elliptic K3 surface with *p*-torsion sections in characteristic  $p \ge 3$ . Let  $\varphi : \mathbb{P}^1 \longrightarrow \overline{\mathrm{Ig}(p)^{\mathrm{ord}}}$  be the compactified classifying morphism. Then the following are equivalent:

- 1. *X* arises as Frobenius pullback from a rational elliptic surface
- 2. X is unirational
- 3. X is supersingular
- 4. the fibration has precisely one fiber with additive reduction

5.  $\varphi$  is totally ramified over the supersingular point of  $\overline{Ig(p)^{\text{ord}}}$ In particular, the conjectures of Artin and Shioda hold for this class of surfaces.

Remark : This theorem also valid for 4-torsion and 8-torsion sections.

# Main Theorem 2' (Oridinary characterization)

Let  $X \longrightarrow \mathbb{P}^1$  be an elliptic K3 surface with *p*-torsion sections in characteristic  $p \ge 3$ . Then the following are equivalent:

- 1. *X* arises as Frobenius pullback from a K3 surface
- 2. X is ordinary
- 3. X is not unirational
- 4. the fibration has precisely two fibers with additive reduction

Moreover, such surfaces can exist in characteristic  $p \le 5$  only.

# Key Proposition A

Let  $X \longrightarrow \mathbb{P}^1$  be an elliptic K3 surface with *p*-torsion section in characteristic *p*, whose fibration does not have constant *j*-invariant.

Then the fibration has at least oneand at most two fibres with potentially supersingular reduction. Moreover,

- ► if there is one fiber with potentially supersingular reduction then the formal Brauer group has height h ≥ 2.
- if there are two fibers with potentially supersingular reduction then the formal Brauer group has height h = 1.

Proof:

- the fibration does not have constant *j*-invariant
  - $\implies$  the map from the base to the *j*-line is dominant, surj.
  - $\implies$   $\exists$  at least one fiber with pot. supersingular reduction
- Remaining assertions come from the followin Lemma on calculating the height of the formal Brauer group from the Weierstrass equation

#### Lemma : Height of formal Brauer group

Let an elliptic K3 surface X be given by a Weierstraß equation

$$y^{2} + a_{1}(t)xy + a_{3}(t)y = x^{3} + a_{2}(t)x^{2} + a_{4}(t)x + a_{6}(t)$$

where the  $a_i(t)$ 's are polynomials of degree  $\leq 2i$ ,

i.e.,  $a_i(t) = \sum_{j=0}^{2i} a_{ij} t^j$ .

Assume that X have *p*-torsion sections.

We can calculate the height *h* of the formal Brauer group as follows:

► For 
$$p = 2$$
,  $h = 1 \iff a_{11} \neq 0$   
 $h \ge 2 \iff a_{11} = 0$   
 $h \ge 3 \iff a_{11} = a_{33} = 0$   
..... ([Artin 197])

For 
$$p = 3$$
,  $h = 1 \iff a_{11}^2 + a_{22} \neq 0$ .

- For p = 5,  $h = 1 \iff 2a_{44} \neq 0$ .
- ▶ For *p* = 7 : ·····

by tedious calculation!

# Proof of Lemma

<u>p = 2</u>:

- ► potentially supersingular reduction over  $t_0 \Leftrightarrow a_1(t_0) = 0$
- $K3 \Rightarrow \deg a_1(t) \le 2 \Rightarrow \text{ at most two such fibers}$
- the fibration has two such fibres  $\Rightarrow a_{11} \neq 0 \Rightarrow h = 1$
- the fibration has only one such fiber  $\Rightarrow a_{11} = 0 \Rightarrow h \ge 2$

<u>p = 3</u>:

- may assume a<sub>1</sub>(t) = 0 after a suitable change of coordinates
- ► the Hasse invariant of the generic fiber is -a<sub>2</sub>(t) ∈ k(t)<sup>×</sup>/k(t)<sup>×2</sup>
- ► ∃ 3-torsion section  $\Rightarrow$  the Hasse invariant is trivial, i.e.,  $-a_2(t)$  is a square
- ▶ fibers with potentially supersingular reduction fulfill
   0 = a<sub>2</sub>(t)<sup>2</sup>
- deg  $a_2(t) \le 4 \Rightarrow$  there are at most two such fibers
- the fibration has two such fibers  $\Leftrightarrow a_{22} \neq 0 \Leftrightarrow h = 1$

# Proof of Lemma

<u>р = 5</u>:

- may assume  $a_1(t) = a_2(t) = a_3(t) = 0$
- ∃ 5-torsion sections ⇒ 2a<sub>4</sub>(t) = a fourth power (by computing the Hasse invariant)
- ► a fiber to have potentially supersingular reduction  $\Rightarrow 2a_4(t) = 0$
- deg a₄(t) ≤ 8 and 2a₄(t) = a fourth power ⇒ at most two such fibers
- ►  $\exists$  two fibres with potentially supersingular reduction  $\Leftrightarrow 2a_{44} \neq 0 \Leftrightarrow h = 1.$

by tedious calculation !

p = 7 : omit

# Key Proposition B

- Let  $X \longrightarrow B$  be an elliptic fibration with *p*-torsion sections and  $p \ge 3$ .
  - Every additive fiber has potentially supersingular reduction.
  - Every potentially supersingular fiber has additive reduction.

Very rought explanation of the proof :

First assertion (additive  $\implies$  potentially supersingular) is essentially by Liedtke-Schröer.

- Igusa moduli problem is fine when  $p \ge 3$
- ▶ we know the degenerate properties of universal elliptic curves over Ig(p<sup>n</sup>)<sup>ord</sup>. (Liedtke-Schröer 08)
- look into j, and universal elliptic curve degenerates into multiplicative fibers at places of potentially multiplicative reduction
- only additive fibers can come from potentially supersingular places

# Sketch of the proof of Key Proposition B

Second assertion (potentially supersingular  $\implies$  additive) is proved as follows:

- ▶ we know the degenerate properties of universal elliptic curves over Ig(p<sup>n</sup>)<sup>ord</sup>. (Liedtke-Schröer 08)
- calculating the intersectin pairing of O section and a p-torsion section
- translation by a *p*-torsion section give rise to a wild automorphism, and may apply the results of (Dolgachev-Keum '01) on symplectic automorphism action for K3 surfaces and its improved results on elliptic fibrations
- coclude that *p*-torsion sections are disjoint from O section when *p* ≥ 3
- there does not exist good supersingular reduction

# Main Theorem 2 (Supersingular characterization)

Let  $X \longrightarrow \mathbb{P}^1$  be an elliptic K3 surface with *p*-torsion sections in characteristic  $p \ge 3$ . Let  $\varphi : \mathbb{P}^1 \longrightarrow \overline{\mathrm{Ig}(p)^{\mathrm{ord}}}$  be the compactified classifying morphism. Then the following are equivalent:

- 1. *X* arises as Frobenius pullback from a rational elliptic surface
- 2. X is unirational
- 3. X is supersingular
- 4. the fibration has precisely one fiber with additive reduction

5.  $\varphi$  is totally ramified over the supersingular point of  $\overline{Ig(p)^{\text{ord}}}$ In particular, the conjectures of Artin and Shioda hold for this class of surfaces.

Remark : This theorem also valid for 4-torsion and 8-torsion sections.

- $(1) \Longrightarrow (2) \Longrightarrow (3)$  holds in general.
- (3)  $\implies$  (4) comes from Key Propositions A and B.
- Equivalence between (4) and (5) is Key Proposition B.
- (5) ⇒ (1):
   Since we know X → P<sup>1</sup> arises as Frobenius pullback from some elliptic fibration Y → P<sup>1</sup>, we need to show that Y is rational.

Let  $I_{pn_v}$ , v = 1, ... be the multiplicative fibers. Since  $p \ge 3$ , the fibration does not have constant *j*-invariant and thus there exist places of potentially multiplicative reduction which are multiplicative. By Proposition B the potentially supersingular fiber is additive, say with *m* components and Swan conductor  $\delta$  and we obtain

24 = 
$$c_2(X) = \sum_{v} pn_v + (2 + \delta + (m - 1))$$

We also know  $X \longrightarrow \mathbb{P}^1$  arises as Frobenius pullback from some elliptic fibration  $Y \longrightarrow \mathbb{P}^1$ , which has multiplicative fibers  $I_{n_v}$ , v = 1, ....

This fibration has one additive fiber also with Swan conductor  $\delta$  and with, say, m' components. Thus we obtain

$$c_2(Y) = \sum_{v} n_v + (2 + \delta + (m' - 1)) \le \frac{22 - \delta}{p} + (2 + \delta + (m' - 1))$$

Since  $p \neq 2$ , reduction of type  $I_n^*$  with  $n \ge 1$  is potentially multiplicative and thus cannot occur as the additive fiber of  $Y \longrightarrow \mathbb{P}^1$ . Inspecting the list of additive fibers we obtain  $m' \le 9$ . On the other hand, Y is either rational or K3, i.e,  $c_2(Y) = 12$  or  $c_2(Y) = 24$ .

If  $p \ge 5$  then  $\delta = 0$  implies  $c_2(Y) < 24$ , which implies that *Y* is rational. If p = 3 then  $c_2(Y) = 24$  could only be achieved if  $\delta \ge 20$ . However, since  $\sum_n pn_v \ge p = 3$ , this contradicts

$$24 = c_2(X) = \sum_{v} pn_v + (2 + \delta + (m - 1)).$$

Thus, *Y* is a rational surface also for p = 3.

Omit the proof of Theorem 2'.

# Classifying morphism

For  $p^n \ge 3$  and an elliptic *K*3 surface  $f : X \longrightarrow \mathbb{P}^1$  with  $p^n$ -torsion sections, we have a diagram :



where  $\varphi$  is the classifying morphism, j is the Galois covering induced by the j-invariant.

We are going to classify elliptic K3 surfaces with  $p^n$ -torsion sections using the classifying morphisim for each characteristic.

Especially, classify and study the geometry of supersingular elliptic K3 surfaces with  $p^n$ -torsion sections.

There exists only one elliptic K3 surface  $X \longrightarrow \mathbb{P}^1$  with 7-torsion section in characteristic 7 up to isomorphism. It has the following invariants:

singular fibers
$$\sigma_0$$
MW°(X)MW(X)III,  $3 \times I_7$ 1 $A_1(7)$  $A_1^*(7) \oplus (\mathbb{Z}/7\mathbb{Z})$ 

The Weierstraß equation is given by the following:

$$y^2 = x^3 + tx + t^{12}$$
.

In particular, it is the unique supersingular K3 surface with Artin invariant  $\sigma_0 = 1$ .

- $\varphi : \mathbb{P}^1 \longrightarrow \overline{\operatorname{Ig}(7)^{\operatorname{ord}}}$  : classifying morphism
- $\overline{\mathcal{E}} \longrightarrow \overline{\mathrm{Ig}(7)^{\mathrm{ord}}}$  : the universal curve
  - ► deg φ ≥ 2 is impossible by an analysis of the multiplicative fibers
  - hence  $\varphi$  is an isomorphism, proving uniqueness
  - $\blacktriangleright\ \bar{\epsilon}^{(7)}$  corresponds in fact a K3 surface, we get existence
  - ► the singular fibres of *Ē*/Ig(7)<sup>ord</sup> are (III\*, 3 × I<sub>1</sub>), trivial lattice *T<sub>Ē</sub>* = *E*<sub>7</sub>
  - the singular fibres of  $\bar{\mathcal{E}}^{(7)}/Ig(7)^{ord}$  are (III,  $3 \times I_7$ )
  - $\overline{\mathcal{E}}$  is rational, which implies that X is unirational

<u>How to determine the MWL of  $\overline{\varepsilon}^{(7)}$ </u>: The (full and narrow) Mordell-Weil lattices are  $MW(\overline{\varepsilon}) \cong A_1^*$  and  $MW^{\circ}(\overline{\varepsilon}) \cong A_1$  by the table. (Oguiso-Shioda '91) Now. Frobenius induces an inclusion of lattices

$$MW(Y)_{free}(\rho) \subseteq MW(X)_{free},$$

which is of some finite index  $\mu$ .

Taking determinants, we obtain

$$\mu^2 = \frac{\det \mathrm{MW}(Y)_{\mathrm{free}}(\rho)}{\det \mathrm{MW}(X)_{\mathrm{free}}}.$$

Since we have

$$\det \mathsf{NS}(X) = \frac{\det \mathsf{MW}(X)_{\mathrm{free}} \cdot \det T}{|\mathsf{MW}(X)_{\mathrm{tor}}|^2}$$

for elliptic surface whose j-invariant is not constant.

$$\mu^{2} = \frac{\det A_{1}^{*}(7)}{\det \mathrm{NS}(X) \, |\mathrm{MW}(X)_{\mathrm{tor}}|^{2}} \det(U \oplus A_{6}^{\oplus 3} \oplus A_{1}) = \frac{\frac{1}{2} \cdot 7}{7^{2\sigma_{0}(X)} \cdot 7^{2}} \cdot 7^{3} \cdot 2,$$

which yields  $\mu = 1$ . Thus,  $\sigma_0 = 1$  and  $MW(X) \cong A_1^*(7) \oplus (\mathbb{Z}/7\mathbb{Z})$ .

# Elliptic K3 surfaces with $p^n$ -torsion sections

p <sup>n</sup>	$[Ig(p^n)^{ord}]$	$\deg \varphi$	description of the family
8 = 2 <sup>3</sup>	fine		
7	fine	1	unique supersingular K3 ( $\sigma_0 = 1$ )
5	fine		
4	fine		
3	fine		
2	not fine		isotrivial case
			non-isotrivial case

Let  $\varphi : \mathbb{P}^1 \longrightarrow \overline{\mathrm{Ig}(5)^{\mathrm{ord}}}$  be a classifying morphism. Then, deg  $\varphi = 2 \iff X$  is a K3 surface

More precisely, the surfaces have the following invariants:

singular fibers	dim	$\sigma_0$	$\mathrm{MW}^{\circ}(X)$	MW(X)
$2\times {\rm II}, 4\times {\rm I}_5$	2			
$2\times {\rm II}, {\rm I}_{10}, 2\times {\rm I}_5$	1			
$2\times {\rm II}, 2\times {\rm I}_{10}$	0			
$\mathrm{IV}, 4\times\mathrm{I}_5$	1	2	$A_{2}(5)$	$A_2^*(5)\oplus \mathbb{Z}/5\mathbb{Z}$
$\mathrm{IV}, \mathrm{I_{10}}, 2\times\mathrm{I_5}$	0	1	$\langle 30 \rangle$	$\langle \overline{\frac{5}{6}} \rangle \oplus \mathbb{Z}/5\mathbb{Z}$

Here, dim denotes the dimension of the family. For the supersingular surfaces, this list also gives Artin invariants  $\sigma_0$  and their (narrow) Mordell–Weil lattices.

Proof: (Similar to the characteristic 7 case.)

► The universal elliptic curve over the Igusa curve  $\overline{\mathcal{E}}$  $\longrightarrow$   $\overline{\mathrm{Ig}(5)^{\mathrm{ord}}}$  is given by the Weierstraß

$$y^2 = x^3 + 3t^4x + t^5,$$

which has a singular fiber of type II<sup>\*</sup> over t = 0 and fibers of type I<sub>1</sub> over  $t = \pm 1$ .

- Note that this surface is a rational extremal elliptic surface.
- We write the classifying morphism  $\varphi = \varphi_{\alpha\beta} : \overline{Ig(5)^{\text{ord}}}$  $\longrightarrow \mathbb{P}^1$  as

$$t=\frac{\alpha s^2+\beta}{s^2+1}$$

whose branch points are  $t = \alpha$  and  $t = \beta$ , where t (resp. s) is a local parameter of  $\mathbb{P}^1$  (resp.  $\overline{Ig(5)^{\text{ord}}}$ ).

• Then our surfaces arise as pullbacks along *F* and  $\varphi_{\alpha\beta}$ :



The elliptic surface Y is given by the Weierstraß equation

$$y^2 = x^3 + 3(\alpha s^2 + \beta)^4 x + (\alpha s^2 + \beta)^5 (s^2 + 1),$$

and depending on  $\alpha$  and  $\beta$  we obtain the following list giving the explicit classification of our surfaces.

$\{lpha,eta\}\cap\{0,\pm1\}$	singular fibers of $X$	singular fibers of $Y$	Y
Ø	$2\times \mathrm{II}, 4\times \mathrm{I}_5$	$2\times \mathrm{II}^*, 4\times \mathrm{I}_1$	K3
{1}, {-1}	$2\times \mathrm{II}, \mathrm{I_{10}}, 2\times \mathrm{I_5}$	$2\times \mathrm{II}^*, \mathrm{I}_2, 2\times \mathrm{I}_1$	K3
$\{1, -1\}$	$2\times \mathrm{II}, 2\times \mathrm{I_{10}}$	$2\times \mathrm{II}^*, 2\times \mathrm{I}_2$	K3
{0}	$\mathrm{IV}, 4 \times \mathrm{I}_5$	$\mathrm{IV}^*, 4  imes \mathrm{I}_1$	rational
$\{0,1\},\{0,-1\}$	$\mathrm{IV}, \mathrm{I_{10}}, 2 \times \mathrm{I_5}$	$\mathrm{IV}^*,\mathrm{I}_2,2\times\mathrm{I}_1$	rational

By Main Theorem 2 the supersingular surfaces are precisely those that arise as Frobenius pullbacks from rational elliptic surfaces. It remains to determine the Mordell–Weil groups and Artin invariants. On can use the similar argument as characteristic 7. (Omit it.)

Remark : Since Y has two singular fibers of type II\* when it is K3 surface, we can apply Shioda's sandwich theorem for studying it.

Further question : Is the supersingular family complete ?

# Proposition for completeness

Let X be an elliptic K3 surface with  $p^n$ -torsion section in characteristic p. Assume that X is supersingular with Artin-invariant  $\sigma_0$ .

Then, every K3 surface which is supersingular in the sense of Shioda with Artin invariant  $\sigma_0$  in characteristic *p* possesses an elliptic fibration with  $p^n$ -torsion section.

Proof:

- a (quasi-)elliptic fibration on X ⇐⇒ U ↪ NS(X) (isometry)
   (U : a hyperbolic lattice of rank 2)
- b the trivial lattice *T* is the sub-lattice of NS(X) generated by U and all x ∈ U<sup>⊥</sup> with x<sup>2</sup> = −2
- ► the torsion sections of the fibration correspond to the torsion of NS(X)/T

# Proof of Proposition for completeness

- the Néron–Severi group of a (Shioda-)supersingular K3 surface is uniquely determined by *p* and σ<sub>0</sub> (Rudakov Shafarevich 1979)
- one of these surfaces possesses a (quasi-)elliptic fibration with p<sup>n</sup>-torsion section ⇒ so do all of them
- ▶ need to check the genus 1 fibration on another K3 surface *Y* with the same *p* and  $\sigma_0$  corresponding to  $U \hookrightarrow NS(X)$  is elliptic, not quas-elliptic.
- ▶ if p ≥ 5 or if rank(T) < 22 then the fibration on Y is automatically elliptic and the quasi-elliptic case cannot occur at all
- If p ≤ 3 and rank(T) = 22 then the elliptic fibration on X is extremal and these K3 surfaces have been explicitly classified (I. 2002)
- these surfaces have Artin invariant σ<sub>0</sub> = 1, i.e., X is isomorphic to Y

#### Completeness results in characteristic 5

Every (Shioda-)supersingular K3 surface with  $\sigma_0 \leq$  2 in characteristic 5 possesses an elliptic fibration with 5-torsion section.

# Elliptic K3 surfaces with $p^n$ -torsion sections

p^n	$[Ig(p^n)^{ord}]$	$\deg \varphi$	description of the family
8 = 2 <sup>3</sup>	fine		
7	fine	1	unique supersingular K3 ( $\sigma_0 = 1$ )
5	fine	2	2-dim ordinary K3's $\bigcirc$ 1-dim s.s. K3's ( $\sigma_0 < 2$ )
4	fine		
3	fine		
2	not fine		isotrivial case
			non-isotrivial case

Let  $\varphi : \mathbb{P}^1 \longrightarrow \overline{\mathrm{Ig}(3)^{\mathrm{ord}}}$  be a classifying morphism. Then,

$$2 \leq \deg \varphi \leq 6 \iff X$$
 is a K3 surface

More precisely,

- 1. deg  $\varphi = 2$  and  $\varphi^{-1}(O)$  consists of two points.
- 2. deg  $\varphi = 3$ ,  $\varphi$  is separable and  $\varphi^{-1}(O)$  consists of two points.
- 3. deg  $\varphi = 4$  and  $\varphi^{-1}(O)$  consists of one or two points.
- 4. deg  $\varphi = 5$  and  $\varphi^{-1}(O)$  consists of one point or two points with ramification index e = 2 and e = 3.
- 5. deg  $\varphi = 6$  and  $\varphi^{-1}(O)$  consists of one point or two points with ramification index e = 3.

Conversely, if  $\varphi$  is as above then the associated elliptic fibration with 3-torsion section is a K3 surface.

We denote by  $O \in \overline{Ig(3)^{ord}}$  the unique supersingular point.

Every (Shioda-)supersingular K3 surface with Artin invariant  $\sigma_0 \leq 6$  in characteristic 3 possesses an elliptic fibration with 3-torsion section.

The complete list of these (supersingular) surfaces is given by the following table:

#### Supersingular K3 surfaces in charctersitic 3

deg  $\varphi = 6$  (separable)

singular fibers	dim	$\sigma_0$	$MW^{\circ}(X)$	MW(X)
$II_4, 6 \times I_3$	5	6	$E_{8}(3)$	$E_8(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\rm II_4, \rm I_6, \rm I_3 \times 4$	4	5	$E_7(3)$	$E_7^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\rm II_4, I_9, I_3 \times 3$	3	4	$E_{6}(3)$	$E_{6}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\rm II_4, I_6 \times 2, I_3 \times 2$	3	4	$D_{6}(3)$	$D_{6}^{\check{*}}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\rm II_4, \rm I_{12}, \rm I_3 \times 2$	2	3	$D_{5}(3)$	$D_5^{\check{*}}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
II <sub>4</sub> , I <sub>6</sub> $\times$ 3	2	3	$D_4(3) \oplus A_1(3)$	$D_{4}^{*}(3) \oplus A_{1}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
II4, I9, I6, I3	2	3	A <sub>5</sub> (3)	$A_5^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
II <sub>4</sub> , I <sub>15</sub> , I <sub>3</sub>	1	2	$A_4(3)$	$A_{4}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
II <sub>4</sub> , I <sub>12</sub> , I <sub>6</sub>	1	2	$A_3(3) \oplus A_1(3)$	$A_3^*(3) \oplus A_1^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_2, 6 \times I_3$	4	5	$E_{6}(3)$	$E_6^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\mathrm{IV}_2,\mathrm{I}_6,\mathrm{I}_3\times4$	3	4	$A_{5}(3)$	$A_{5}^{\check{*}}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_2, I_9, I_3  imes 3$	2	3	A <sub>2</sub> (3)⊕ <sup>2</sup>	$A_2^*(3)^{\oplus 2} \oplus \mathbb{Z}/3\mathbb{Z}$
$\mathrm{IV}_2,\mathrm{I}_6\times 2,\mathrm{I}_3\times 2$	2	3	$L_{4}(3)$	$\tilde{L}_{A}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_2, I_{12}, I_3  imes 2$	1	2	$L_{3}(3)$	$L_3^{\overline{*}}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_2, I_6 \times 3$	1	2	$A_1(3) \oplus L_2(3)$	$A_1^*(3) \oplus L_2^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$I_{0.0}^{*}, I_{3}  imes 6$	3	4	D <sub>4</sub> (3)	D <sub>4</sub> *(3) ⊕ ℤ/3ℤ
$I_{0,0}^{*}, I_{6}, 4 \times I_{3}$	2	3	<i>A</i> <sub>1</sub> (3)⊕ <sup>3</sup>	$A_1^*(3)^{\oplus 3} \oplus \mathbb{Z}/3\mathbb{Z}$
$I_{0,0}^{*}, I_{6} \times 2, I_{3} \times 2$	1	2	A <sub>1</sub> (3)⊕ <sup>2</sup>	$A_1^*(3)^{\oplus 2} \oplus \mathbb{Z}/6\mathbb{Z}$
$I_{0,0}^{*}, I_{9}, I_{3} \times 3$	1	2	$L_{2}(3)$	$\dot{L}_{2}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$I_{0,0}^{*}, I_6 \times 3$	0	1	$A_1(3)$	$A_1^*(3) \oplus \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$
$I_{0,0}^{*}, I_{12}, I_{3} \times 2$	0	1	$\langle 12 \rangle$	$\langle \frac{3}{4} \rangle \oplus \mathbb{Z}/6\mathbb{Z}$

#### Supersingular K3 surfaces in charctersitic 3

deg  $\varphi = 6$  (inseparable)

singular fibers	dim	$\sigma_0$	$MW^{\circ}(X)$	MW(X)
$IV_2, 2 \times I_9$	1	2	$A_{2}(3)$	$A_2^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_2, I_{18}$	0	1	$\langle 18 \rangle$	$\langle rac{1}{2}  angle \oplus \mathbb{Z}/3\mathbb{Z}$
$\deg \varphi = 5$				

MW(X)singular fibers dim  $MW^{\circ}(X)$  $\sigma_0$  $\overline{3.}(E_8(3)) \oplus \mathbb{Z}/3\mathbb{Z}$  $IV_5, 5 \times I_3$ 4 5  $E_{8}(3)$ 3  $E_{7}(3)$  $3.(E_7^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$  $IV_5, I_6, 3 \times I_3$ 4 2 3  $D_{6}(3)$  $3.(D_6^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$  $IV_5, 2 \times I_6, I_3$ 3  $E_{6}(3)$  $3.(E_6^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$  $IV_5, I_9, 2 \times I_3$ 2 1 2  $A_5(3)$   $3.(A_5^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$ IV5, I9, I6 1 2  $D_{5}(3)$  $3.(D_5^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$  $IV_5, I_{12}, I_3$ 1  $A_4(3)$   $3.(A_4^*(3)) \oplus \mathbb{Z}/3\mathbb{Z}$ 0 IV5, I15

#### Supersingular K3 surfaces in charctersitic 3

 $\deg \varphi = 4$ 

singular fibers	dim	$\sigma_0$	$MW^{\circ}(X)$	MW(X)
$IV_4^*, 4 \times I_3$	3	4	$E_{6}(3)$	$E_6^*(3) \oplus \mathbb{Z}/3\mathbb{Z}$
$\mathrm{IV}_4^*, \mathrm{I}_6, 2 \times \mathrm{I}_3$	2	3	$A_{5}(3)$	$A_5^{*}(3)\oplus \mathbb{Z}/3\mathbb{Z}$
$\mathrm{IV}_4^*,\mathrm{I}_6,\mathrm{I}_6$	1	2	$L_{4}(3)$	$L_4^*(3)\oplus \mathbb{Z}/3\mathbb{Z}$
$IV_4^*, I_9, I_3$	1	2	<i>A</i> <sub>2</sub> (3)⊕ <sup>2</sup>	$A_2^*(3)^{\oplus 2} \oplus \mathbb{Z}/3\mathbb{Z}$
$IV_{4}^{*}, I_{12}$	0	1	$L_{3}(3)$	$\overline{L}_{3}^{*}(3) \oplus \mathbb{Z}/3\mathbb{Z}$

Here,  $L_2$ ,  $L_3$ , and  $L_4$  are lattices of rank 2, 3, 4, all of determinant 12, whose matrices are given by

$$L_{2} = \begin{pmatrix} 4 & -2 \\ -2 & 4 \end{pmatrix}, L_{3} = \begin{pmatrix} 2 & 0 & -1 \\ 0 & 2 & -1 \\ -1 & -1 & 4 \end{pmatrix}, L_{4} = \begin{pmatrix} 4 & -1 & 0 & 1 \\ -1 & 2 & -1 & 0 \\ 0 & -1 & 2 & -1 \\ 1 & 0 & -1 & 2 \end{pmatrix}$$

Also, the notation 3.*L* for a lattice *L* stands for a lattice that has *L* as a sublattice of index 3.

Let us concentrate on supersingular *K*3 surfaces with 3-torsion sections.

- ► the classifying morphism φ is totally ramified over the supersingular point O ∈ Ig(3)<sup>ord</sup>
- this gives  $4 \leq \deg \varphi \leq 6$

Explicit equations of Y :

let  $f_3(s)$ ,  $f_4(s)$  and  $f_5(s)$  be polynomials of degree 3, 4 and 5 with no zero in s = 0. Then we substitute

$$t = rac{s^6}{f_5(s)}, \ t = rac{s^5}{f_4(s)} \quad ext{ and } \quad t = rac{s^4}{f_3(s)}$$

into the Weierstraß equation  $y^2 + txy = x^3 - t^5$  of the universal family over  $\overline{Ig(3)^{\text{ord}}}$ . In all cases this leads to a Weierstraß equation

$$y^2 = x^3 + s^2 x^2 + s^5 + r_4 s^4 + r_3 s^3 + r_2 s^2 + r_1 s + r_0$$

for certain  $(r_4, r_3, r_2, r_1, r_0) \in \mathbb{A}^5_k$ .

Depending on the degree of  $\varphi$  these coefficients satisfy the following conditions:

<u>Relation with the semi-universal deformation of a RDP</u>: It is remarkable that these rational elliptic surfaces appear in the family of elliptic surfaces related to the semi-universal deformation of the  $E_8^2$ -singularity in characteristic 3, which is given by

$$y^2 = x^3 + (t^2 + s)x^2 + (q_1t + q_0)x + t^5 + r_4t^4 + r_3t^3 + r_2t^2 + r_1t + r_0.$$

To obtain elliptic K3 surfaces with 3-torsion section we have to take the Frobenius pullback of these surfaces.

Then the non-trivial 3-torsion sections of the fibration are explicitly given by

$$(-(\tau^{5}+r_{4}^{\frac{1}{3}}\tau^{4}+r_{3}^{\frac{1}{3}}\tau^{3}+r_{2}^{\frac{1}{3}}\tau^{2}+r_{1}^{\frac{1}{3}}\tau+r_{0}^{\frac{1}{3}}),$$
  
$$\pm\tau^{3}(\tau^{5}+r_{4}^{\frac{1}{3}}\tau^{4}+r_{3}^{\frac{1}{3}}\tau^{3}+r_{2}^{\frac{1}{3}}\tau^{2}+r_{1}^{\frac{1}{3}}\tau+r_{0}^{\frac{1}{3}}))$$

(Needs to modify slightly for deg  $\varphi = 4$ .)

Calculation of the MWL's and the Artin invariants:

- ► the index of MW(Y)<sub>free</sub>(3) inside MW(X)<sub>free</sub> is related to the Artin invariant of X for each case in the table ⇒ obtain an upper bound for the Artin invariant
- all the surfaces in the table can be realized inside the family corresponding to the semi-universal deformation of the E<sub>8</sub><sup>2</sup>-singularity

 $\Longrightarrow$  the dimension of the surface having the given type of singular fibers inside the moduli space is bounded from below

- ► gives the Artin invariants for the cases deg φ = 4 and deg φ = 6.
- need a more precise analysis for the case deg  $\varphi = 5$ 
  - ► X : an elliptic K3 surface with 3-torsion sections whose singular fibers are of type IV<sub>5</sub>,  $5 \times I_3$  $\implies \mu^2 = 3^{12-2\sigma_0(X)}, \mu = [MW(X)_{free} : MW(Y)_{free}(3)]$  $\implies \sigma_0(X) \le 6.$
  - these surfaces are realized inside the semi-universal deformation of the E<sup>2</sup><sub>8</sub>-singularity ⇒ σ<sub>0</sub>(X) > 5
  - have to decide whether  $\mu = 1$  or  $\mu = 3$  holds true
  - assume  $\mu = 1$
  - $MW(Y)_{\text{free}} = MW^{\circ}(Y) = E_8 \Longrightarrow MW(X)_{\text{free}} = MW^{\circ}(X) = E_8(3)$
  - the 3-torsion sections of this surface do not lie in  $\mathrm{MW}^{\circ}(X) \Longrightarrow$  a contradiction
  - µ = 3 and σ₀(X) = 5
- every (Shioda-)supersingular K3 surface with σ<sub>0</sub> ≤ 6 possesses an elliptic fibration with 3-torsion section

# Elliptic K3 surfaces with $p^n$ -torsion sections

p <sup>n</sup>	$[Ig(p^n)^{ord}]$	$\deg arphi$	description of the family
8 = 2 <sup>3</sup>	fine		
7	fine	1	unique supersingular K3 ( $\sigma_0 = 1$ )
5	fine	2	2-dim ordinary K3's $\supset$ 1-dim s.s. K3's ( $\sigma_0 \leq 2$ )
4	fine		
3	fine	2, , 6	6-dim ordinary K3's
			$\supset$ 5-dim s.s. <i>K</i> 3's ( $\sigma_0 \leq 6$ )
2	not fine		isotrivial case
			non-isotrivial case

# Classification in charctersitic 2, 2-torsion sections

Case: constant *j*-invariant

- 1. one additive fiber of type  $I_{12,6}^*$ , and then  $h \ge 2$ , or
- 2. two additive fibers, both of type  $I_{4,2}^*$ , and then h = 1.

Case : non-constant j-invariant

- 1. the fibration has precisely one additive fiber, which is potentially supersingular. In this case  $h \ge 2$  holds true.
- 2. the fibration is semi-stable and there is precisely one fiber with good and supersingular reduction. Moreover, *X* is unirational and  $h = \infty$ .
- 3. the fibration has precisely two fibers with additive reduction, both of which are potentially supersingular. In this case h = 1 holds true.
- 4. the fibration has precisely two fibers with additive reduction, one of which is potentially supersingular and the other one is potentially ordinary of type  $I_{4,2}^*$ . In this case h = 1 holds true.

Classification in charctersitic 2, 2-torsion sections

Case: constant *j*-invariant

- 1. one additive fiber of type  $I^*_{12,6}$ , and then  $h \ge 2$ , or
- 2. two additive fibers, both of type  $I_{4,2}^*$ , and then h = 1.

Case : non-constant j-invariant

- 1. the fibration has precisely one additive fiber, which is potentially supersingular. In this case  $h \ge 2$  holds true.
- 2. the fibration is semi-stable and there is precisely one fiber with good and supersingular reduction. Moreover, *X* is unirational and  $h = \infty$ .
- 3. the fibration has precisely two fibers with additive reduction, both of which are potentially supersingular. In this case h = 1 holds true.
- 4. the fibration has precisely two fibers with additive reduction, one of which is potentially supersingular and the other one is potentially ordinary of type  $I_{4,2}^*$ . In this case h = 1 holds true.

#### Theorem for constant j-invariant case

Every elliptically fibered K3 surface with constant *j*-invariant and 2-torsion section in characteristic 2 arises as minimal desingularization of

$$(E_1 \times E_2)/G \longrightarrow E_2/G \cong \mathbb{P}^1, \qquad (1)$$

where  $E_1$  is an ordinary and  $E_2$  is an arbitrary elliptic curve, and  $G \cong \mathbb{Z}/2\mathbb{Z}$  acts via the sign involution on each factor. Conversely, for any two elliptic curves  $E_1$ ,  $E_2$ , where  $E_1$  is ordinary, a minimal desingularization of (1) yields an elliptic K3 surface with constant *j*-invariant and 2-torsion section. More precisely,

E <sub>2</sub>	singular fibers	ρ	h
ordinary	$2 \times I_{4,2}^*$	$18 \le  ho \le 20$	1
supersingular	I*12.6	18	2

In particular, these surfaces cannot be supersingular, and h = 2 is possible.

# Classification in charctersitic 2, 2-torsion sections

Case: constant *j*-invariant

- 1. one additive fiber of type  $I_{12.6}^*$ , and then  $h \ge 2$ , or
- 2. two additive fibers, both of type  $I_{4,2}^*$ , and then h = 1.

Case : non-constant j-invariant

- 1. the fibration has precisely one additive fiber, which is potentially supersingular. In this case  $h \ge 2$  holds true.
- 2. the fibration is semi-stable and there is precisely one fiber with good and supersingular reduction. Moreover, X is unirational and  $h = \infty$ .
- 3. the fibration has precisely two fibers with additive reduction, both of which are potentially supersingular. In this case h = 1 holds true.
- 4. the fibration has precisely two fibers with additive reduction, one of which is potentially supersingular and the other one is potentially ordinary of type  $I_{4,2}^*$ . In this case h = 1 holds true.

Elliptic *K*3 surfaces with 2-torsion sections in the cases 1 and 2 in non-constant *j*-invariant are realized using  $E_8^4$ -family. Consider the singularity defined by the affine equation in  $\mathbb{A}_k^3$ :

$$E_8^4: y^2 + txy = x^3 + t^5$$

and the semiuniversal deformation of this singularity with parameter  $\lambda = (p_0, p_1, q, r_4, r_3, r_2, r_1, r_0) \in \mathbb{A}^8_k$ ,

$$y^{2} + txy + (p_{0} + p_{1}t)y = x^{3} + qx + t^{5} + r_{4}t^{4} + r_{3}t^{3} + r_{2}t^{2} + r_{1}t + r_{0}$$

Taking Kodaira-Néron model, we get the elliptic surface  $f_{\lambda} : X_{\lambda} \longrightarrow \mathbb{P}^{1}$ . Inside the parameter space  $\mathbb{A}_{k}^{8}$ , let us write the semistable locus as  $\mathbb{S}$ ,

$$\mathbb{S} := \{\lambda \in \mathbb{A}_k^8 | p_0 \neq 0\}.$$

We pick up special members which dominate other sub-members from  $E_8^4$ -family.

For  $\lambda \in S$ , an elliptic surface  $X_{\lambda}$  is called a *basic member* if the singular fibers consist of one I<sub>n</sub> with some  $1 \le n \le 9$  and (12 - n) I<sub>1</sub>'s. We write this  $X_{\lambda}$  as  $X(I_n)$ .

For  $\lambda \in \mathbb{A}_k^8 - S$ , an elliptic surface  $X_\lambda$  is called a *basic member* if the singular fiber over t = 0 is of additive type T and all the other singular fibers are all I<sub>1</sub>'s. We write this  $X_\lambda$  as X(T).

Semistable locus *S* of  $\mathbb{A}^8_k$  has the stratification as follows:

$$\mathbb{A}_{k}^{8} \supset \mathbb{S} = \mathfrak{X}(\mathbf{I}_{1}) \supset \mathfrak{X}(\mathbf{I}_{2}) \supset \cdots \supset \mathfrak{X}(\mathbf{I}_{8}) \supset \mathfrak{X}(\mathbf{I}_{9})$$

Each stratum  $\mathfrak{X}(I_l)(l > 1)$  has codimension 1 inside  $\mathfrak{X}(I_{l-1})$ , thus a stratum  $\mathfrak{X}(I_l)$  has dimension 9 – *l*.

A general member of each stratum has the following Mordell-Weil lattices:

Type of singular fiber	MWL	narrow MWL
I <sub>1</sub> × 12	E <sub>8</sub>	E <sub>8</sub>
$I_2, I_1 \times 10$	E <sub>7</sub> *	E <sub>7</sub>
$I_3, I_1 \times 9$	E <sub>6</sub> *	E <sub>6</sub>
$I_4, I_1 \times 8$	D*5	D <sub>5</sub>
$I_5, I_1 \times 7$	A*4	A4
$I_6, I_1 \times 6$	$A_2^* \oplus A_1^*$	$A_2 \oplus A_1$
$\mathrm{I}_{7},\mathrm{I}_{1}\times5$	$\begin{vmatrix} \frac{1}{7} \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{vmatrix}$	$ \begin{pmatrix} 4 & -2 \\ -1 & 2 \end{pmatrix} $
$I_8, I_1 \times 4$	$\langle \frac{1}{8} \rangle$	(8)
$I_9, I_1 \times 3$	$\mathbb{Z}/3\mathbb{Z}$	{0}

## Frobenius base change gives the case 1

For a general member of  $\mathfrak{X}(I_l)$  with  $1 \leq l \leq 9$ , we take Frobenius base change once to get the new family  $\widetilde{\mathfrak{X}(I_l)}$ . A general member  $\widetilde{\mathfrak{X}(I_l)}$  of  $\widetilde{\mathfrak{X}(I_l)}$  is a supersingular elliptic *K*3 surface with the following data:

1	Type of	MWL	narrow MWL	Artin invariant,
	singular fibers			$\dim \widetilde{\mathfrak{X}(\mathbf{I}_l)}$
1	I <sub>2</sub> × 12	$E_8(2)\oplus \mathbb{Z}/2\mathbb{Z}$	E <sub>8</sub> (2)	9, 8
2	$I_4, I_2 \times 10$	$E_7^*(2)\oplus \mathbb{Z}/2\mathbb{Z}$	E <sub>7</sub> (2)	8, 7
3	$I_6, I_2 \times 9$	$E_6^*(2)\oplus \mathbb{Z}/2\mathbb{Z}$	E <sub>6</sub> (2)	7,6
4	$I_8, I_2 \times 8$	$D_5^*(2)\oplus \mathbb{Z}/2\mathbb{Z}$	D <sub>5</sub> (2)	6, 5
5	$I_{10}, I_2 \times 7$	$A_4^*(2)\oplus \mathbb{Z}/2\mathbb{Z}$	A4(2)	5, 4
6	$I_{12}, I_2 \times 6$	$A_2^*(2)\oplus A_1^*(2)\oplus \mathbb{Z}/2\mathbb{Z}$	$A_2(2) \oplus A_1(2)$	4, 3
7	$I_{14}, I_2 \times 5$	$\frac{\frac{2}{7}\begin{pmatrix}2&1\\1&4\end{pmatrix}\oplus\mathbb{Z}/2\mathbb{Z}$	$ \begin{pmatrix} 8 & -2 \\ -2 & 4 \end{pmatrix} $	3, 2
8	$I_{16}, I_2 \times 4$	$\langle \frac{1}{4} \rangle \oplus \mathbb{Z}/2\mathbb{Z}$	(16)	2, 1
9	$I_{18}, I_2 \times \textbf{3}$	$\mathbb{Z}/3\mathbb{Z}\oplus\mathbb{Z}/2\mathbb{Z}$	{0}	1,0

Next we look into the another subfamily,  $I_{0,2}^*$ -family, which is "lower part" of non-semistable family. This gives a 4-dimensional stratification. Generically, a member of this family can be written as the following Weierstrass equation after a change of parameters.

$$y^{2} + txy = x^{3} + p_{1}^{\frac{1}{2}}tx^{2} + r_{2}^{\frac{1}{2}}t^{2}x + t^{5} + r_{4}t^{4} + r_{3}t^{3}$$

This generic member have a singular fiber of type  $I_{0,2}^*$  over t = 0 and four other singular fibers of type  $I_1$ .

After couple of Frobenius pullbacks they give examples for the case 1.

Remark:  $\overline{Ig(4)^{ord}}$  and  $\overline{Ig(8)^{ord}}$  appear in the stratification.

#### Frobenius tower of stratification

not  $K3 \longrightarrow \text{not } K3 \longrightarrow \text{not } K3$ not  $K3 \longrightarrow \text{not } K3 \longrightarrow \text{not } K3$ not  $K3 \longrightarrow \text{not } K3 \longrightarrow \text{not } K3 \implies \text{not } K3 \longrightarrow (I_{1,2}^*, 2I_8) \Rightarrow (I_{1,1}^*, 2I_8) \implies$  $(I_{0,2}^*, I_{16}) \rightarrow (I_{1,1}^*, I_{16})$  $(II_2^*, I_8) \longrightarrow (III_1, I_8)$  $(I_{0,2}^{*}, 4I_{4}) \ge (I_{1,1}^{*}, 4I_{4}) \ge (IV_{0}^{*}, 4I_{4}) \qquad (II_{2}^{*}, 4I_{2}) \ge (III_{1,1}^{*}, 4I_{2}) \ge (IV_{0}, 4I_{2})$  $(\mathrm{I}_{3,3}^*,\mathrm{3I}_4) \mathrel{\Rightarrow} (\mathrm{II}_2^*,\mathrm{2I}_4) \mathrel{\Rightarrow} (\mathrm{III}_1,\mathrm{2I}_4) \ \mathrel{\Rightarrow} \ (\mathrm{III}_3^*,\mathrm{3I}_2) \mathrel{\Rightarrow} (\mathrm{I}_{0,2}^*,\mathrm{2I}_2) \mathrel{\Rightarrow} (\mathrm{I}_{1,1}^*,\mathrm{2I}_2) \ \mathrel{\Rightarrow}$  $(I_{02}^*, I_4) \longrightarrow (I_{11}^*, I_4)$  $(I_{22}^*, I_2) \longrightarrow (III_1^*, I_2)$  $(I_{0,2}^*, 4I_1) \longrightarrow (I_{1,1}^*, 4I_1) \longrightarrow (IV_0^*, 4I_1)$  $(I_{0,3}^*, 3I_1) \longrightarrow (I_{2,2}^*, 2I_1) \rightarrow (III_1^*, 2I_1) = \overline{\mathcal{E}}/Ig(8)^{ord}$  $(I_{32}^*, I_1) \longrightarrow (II_1^*, I_1) = \overline{\mathcal{E}}/Ig(4)^{\text{ord}}$ 

#### Sections of order 8

There exists only one elliptic K3 surface  $X \longrightarrow \mathbb{P}^1$  with 8-torsion section in characteristic 2 up to isomorphism. It has the following invariants:

singular fibers
$$\sigma_0$$
MW°(X)MW(X) $I_{1,1}^*, 2 \times I_8$ 1 $A_1(2)$  $A_1^*(2) \oplus (\mathbb{Z}/8\mathbb{Z})$ 

The Weierstraß equation is given by the following:

$$y^2 + t^2 x y = x^3 + x + t^4.$$

In particular, it is the unique supersingular K3 surface with Artin invariant  $\sigma_0 = 1$ .

### Sections of order 4

In characteristic 2, the classifying morphism  $\varphi$  for an elliptic K3 surface with 4-torsion section is finite of degree  $2 \le \deg \varphi \le 4$ . More precisely,

- 1. deg  $\varphi = 2$ ,  $\varphi$  is separable and  $\varphi^{-1}(O)$  consists of two points, or
- 2. deg  $\varphi = 3$  and  $\varphi^{-1}(O)$  consists of one points or two points, or
- 3. deg  $\varphi = 4$  and  $\varphi^{-1}(O)$  consists of one point or two points with ramification index e = 2 (wildly ramified).

Conversely, if  $\varphi$  is as above then the associated elliptic fibration with 4-torsion section is a K3 surface.

## Sections of order 4

Every (Shioda-)supersingular K3 surface with Artin invariant  $\sigma_0 \leq 4$  in characteristic 2 possesses an elliptic fibration with 4-torsion section.

The complete list of these surfaces is given by the following table

$\deg arphi$	singular fiber	rs dim	$\sigma_0$	$\mathrm{MW}^{\circ}(X)$	MW(X)	
3	$I_{3,3}^*$ 3 × $I_4$	2	3	D <sub>4</sub> (2)	$D_4^*(2) \oplus \mathbb{Z}/4\mathbb{Z}$	
	$I_{3,3}^*$ $I_8, I_4$	1	2	$A_3$	$A_3^*\oplus \mathbb{Z}/4\mathbb{Z}$	
	I <sup>*</sup> <sub>3,3</sub> I <sub>12</sub>	0	1	A <sub>2</sub>	$A_2^*\oplus \mathbb{Z}/4\mathbb{Z}$	
4	$\varphi$ separable:					
	$I_{0.2}^*$ 4 × $I_4$	3	4	$D_4(2)$	$D_4^*(2) \oplus \mathbb{Z}/4\mathbb{Z}$	
	$I_{0,2}^*$ $I_8, 2 \times 1$	I <sub>4</sub> 2	3	$A_{3}(2)$	$A^*_3(2)\oplus \mathbb{Z}/4\mathbb{Z}$	
	$I_{0,2}^{*}$ $I_{12}, I_{4}$	1	2	$A_{2}(2)$	$A_2^*(2)\oplus \mathbb{Z}/4\mathbb{Z}$	
	$\varphi$ inseparable but not purely inseparable:					
	$I_{1,1}^* = 2 \times I_8$	0	1	$A_{1}(2)$	$A_1^*(2)\oplus \mathbb{Z}/8\mathbb{Z}$	
	$\varphi$ purely inseparable:					
	$I_{1,1}^* I_{16}$	0	1	{0}	$\mathbb{Z}/4\mathbb{Z}$	

# Elliptic K3 surfaces with $p^n$ -torsion sections

p <sup>n</sup>	$[Ig(p^n)^{ord}]$	$\deg arphi$	description of the family
8 = 2 <sup>3</sup>	fine	1	unique supersingular K3 ( $\sigma_0 = 1$ )
7	fine	1	unique supersingular K3 ( $\sigma_0 = 1$ )
5	fine	2	2-dim ordinary K3's $\supset$ 1-dim s.s. K3's ( $\sigma_0 < 2$ )
4	fine	2, 3, 4	4-dim ordinary K3's $\supset$ 3-dim s.s. K3's ( $\sigma_0 < 4$ )
3	fine	2,…,6	6-dim ordinary <i>K</i> 3's $\supset$ 5-dim s.s. <i>K</i> 3's ( $\sigma_0 \leq 6$ )
2	not fine		isotrivial case
			Kummer surfaces
			non-isotrivial case
			Many elliptic surfaces
			have 2-torsions

THE END